Tesla, Inc.



3500 Deer Creek Road Palo Alto, California, 94304

Product: Powerwall 3, Model #: 1707000-xx-y and

Backup Gateway 2.0, Model#: 1152100-xx-y Registered Capacity: 11.04kW

Manufacturers Declaration of Conformity

Tesla, Inc. certify and declare under their sole responsibility that the above-referenced product(s), is in conformity with the following specifications applied:

G100 Issue 2 - Amendment 2

Engineering Recommendation G100 Issue 2 2022 Amendment 2

Technical Requirements for Customers' Export and Import Limitation Schemes

Products must be installed and operated with reference to the instructions in the Product Manual.

The following Notified Body; SGS Tecnos S.A. located at C/ Trespaderne, 29 - Edificio Barajas 1 28042 Madrid, Spain has issued a positive Statement of Opinion based on test report number 230419REC001C_AM1.

04/11/2024 (mm/dd/yyyy)

Mehran Zamani

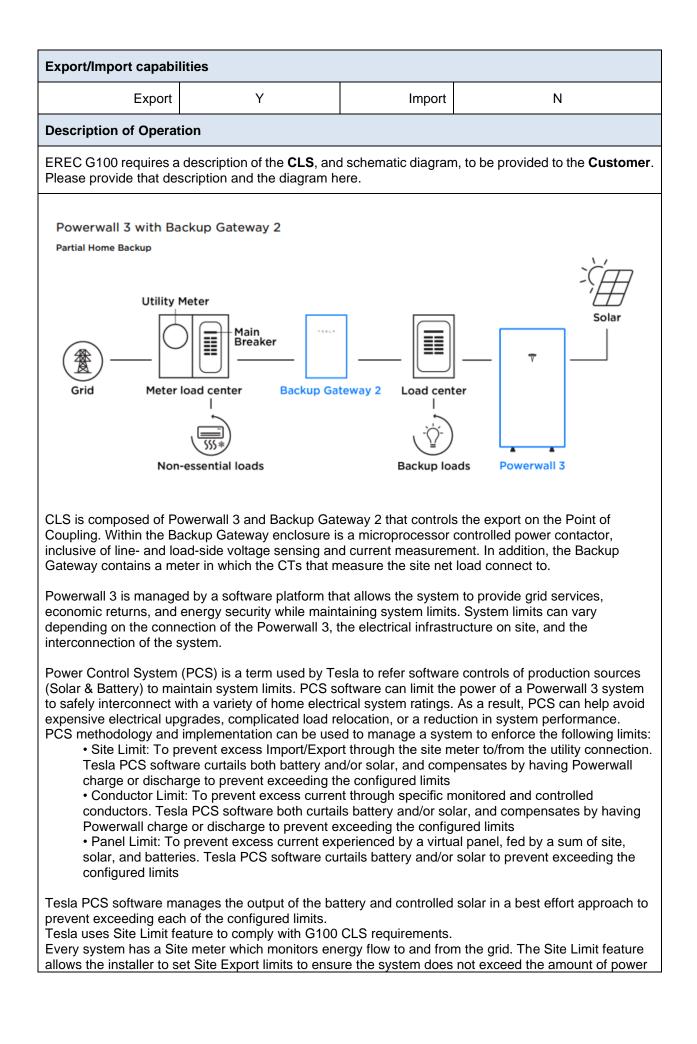
Date

Grid Staff Compliance Engineer

Appendix B – Manufacturer's CLS Product Information

This form is available in a Microsoft Word version from the ENA's website.

| G100/2 - Form B - C Schemes | G100/2 - Form B - Compliance Verification Report for Customer Export or Import Limitation | | | | | | | | |
|--------------------------------|---|------------------------|---|--|--|--|--|--|--|
| | ed by the Manufacturer to der | monstrate and de | clare compliance with the | | | | | | |
| | C G100. The form can be used | | | | | | | | |
| 1. For Fully Type Te | | a in a valiety of w | ays as detailed below. | | | | | | |
| | The Manufacturer can use this form to obtain Fully Type Tested status for a CLS by | | | | | | | | |
| | | | ation (ENA) Type Test Register. | | | | | | |
| | sted status for a product | | allon (ENA) Type Test Register. | | | | | | |
| | r can use this form to obtain T | vne Tested statu | is for one or more | | | | | | |
| | | | ith the relevant parts completed | | | | | | |
| | etworks Association (ENA) Ty | | | | | | | | |
| 3. One-off Installatio | (, , , | <u>-</u> | | | | | | | |
| | use this form to confirm that the | ne CLS has been | tested to satisfy the | | | | | | |
| | nis EREC G100. This form sha | | | | | | | | |
| commissioning. | | | | | | | | | |
| A combination of (2) | and (3) can be used as require | d, together with F | Form C where compliance of | | | | | | |
| the CLS is to be dem | onstrated on site. | | | | | | | | |
| Note: | | | | | | | | | |
| | <pre>vpe Tested and registered with</pre> | | | | | | | | |
| | | | umber (the Type Test Register | | | | | | |
| | nd this form does not need to b | | | | | | | | |
| | | | or is not Fully Type Tested this | | | | | | |
| | | | to the DNO , to confirm that the | | | | | | |
| | to satisfy all or part of the requ | | | | | | | | |
| CLS Designation | | | Backup Gateway 2 | | | | | | |
| Manufacturer name | | Tesla Inc. | | | | | | | |
| Address | | 3500 Deer Cree | | | | | | | |
| | | Palo Alto, Califo | | | | | | | |
| Tel | 1-408-876-1303 | Web site | www.tesla.com | | | | | | |
| E:mail | mzamani@tesla.com | | | | | | | | |
| Installer's name | | | | | | | | | |
| Address | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Tel | | Web site | | | | | | | |
| E:mail | | | | | | | | | |



that can be exported per site requirements. As described below, Site Export limits can be set to restrict export to a numerical value (e.g. can only export up to 10 kW).

Site Export Permissions: Site Export Permissions determine whether Powerwall 3 (the battery) and/or Solar can export to the grid. Site Export Permissions are determined by the utility, the permit, and the interconnect agreement. Installer can configure the site export while commissioning.

Site Export Limits: For systems that can export to the grid, there may be a limit on how much power the site is allowed to export. In this case a Site Export Limit can be set to comply with that power limit. The Site Export Limit is set on the Import & Export Limits page in the Setup App. Once the system is commissioned with the site limits set, the limits cannot be changed by installer/end-user and Tesla support is needed.

| Export Limits | |
|--------------------|---|
| Site Export Limit | |
| No Limit | w |
| Export Permissions | |
| Select | ~ |

4.3.2 State 2 – Occasional Excursion

In case of excursion beyond the limit, the Backup Gateway 2 controls the Powerwall 3 by reducing its power. The reduction of power is achieved as fast as possible and always in less than 15s.

4.3.3 State 3 - Failed State

State 3 is not achieved by the system, as there is no case in which the limit is overpassed for more than 15s, and failures on component supply are not possible on a simple fault condition. (Several faults, including grid fault are necessary to cause a failure of supply on the control system). Test have been performed to probe that communication faults lead to disconnection of the Powerwall 3

Communications Media

Document the provisions made for the use of various communication media, and both the inherent characteristics and the design steps made to ensure security and reliability.

Tesla PCS software controls the entire energy storage site and communicates over CAN bus at 10Hz. Backup Gateway is a part of a Powerwall 3 system and contain current sensor(s) per phase as needed, to act as a site and/or solar meter, which measure the grid and uncontrolled power production sources. CAN bus is only used by Tesla equipment, with each device uniquely enumerated, and built in message prioritization.

CAN is also a "Push" style messaging service, so devices like meters report their data right when it is available, significantly reducing latency or jitter and reducing overhead and data usage.

Backup Gateway communicates to Powerwall at 250k Baud rate over the CAN.

Cyber Security

Confirm that the **Manufacturer** or **Installer** of the **CLS** has provided a statement describing how the **CLS** has been designed to comply with cyber security requirements.

Manufacturer has provided the following statement declaration for cybersecurity compliance.

The Tesla Energy Cybersecurity Program is modelled after the NISTIR 7628 framework, which itself is modelled after the following publications:

- NIST 800-53, Revision 3, Recommended Security Controls for Federal Information Systems and Organizations, August 2009
- NERC CIP 002, 003-009, Version 5
- Catalog of Control Systems Security: Recommendations for Standards Developers, Department of Homeland Security, March 2010
- ISA99 / IEC62443

Power Quality Requirements

Where the **CLS** includes the power electronics that controls generation or loads (as opposed to the power electronics being included in **Devices** that are subject to their own power quality compliance requirements) please submit the harmonic and disturbance information here as required by EREC G5 and EREC P28.

Tested according G99 standard:

Refer to result tables below.

2. Power Quality – Harmonics:

For **Power Generating Modules** of **Registered Capacity** of less than 75 A per phase (ie 50 kW) the test requirements are specified in Annex A.7.1.5. These tests should be carried out as specified in BS EN 61000- 3-12, and measurements for the $2^{nd} - 13^{th}$ harmonics should be provided. The results need to comply with the limits of Table 2 of BS EN 61000-3-12 for single phase equipment and Table 3 of BS EN 610000-3-12 for three phase equipment. For three phase **Power Generating**

Modules, measurements for all phases should be provided. For **Power Generating Module**s of **Registered Capacity** of greater than 75 A per phase (ie 50 kW)

the installation shall be designed in accordance with EREC G5.

The rating of the **Power Generating Module** (per phase) should be provided below, and the Total Harmonic Distortion (THD) and Partial Weighted Harmonic Distortion (PWHD) should be provided at the bottom of this section.

Power Generating Module tested to BS EN 61000-3-12

| Power Ger per phase (Single or th measureme measureme | (rpp) Tree phase ents (for s | e single pha | ase | 11.04 kVA 1 Phase Powerwall 3 is a single-phase device whereas Backup Gateway (CLS) is a 3- | | | Measu Value 23/ratir | Harmonic % = Measured Value (A) x 23/rating per phase (kVA) | | |
|--|------------------------------------|-----------------|---------|---|--|-----------|----------------------------|---|--|--|
| columns be | elow). | · | | Phase devi Powerwall : Backup Ga | ce, thus we ca 3 on each phas teway. | n connect | | | | |
| Harmonic | At 45-55 | 5% of Re | gistere | d Capacity | | | | | | |
| | Measur in Amps | ed Value S | (MV) | Measured | Value (MV) in | % | | Limit in BS EN 61000-3-12 | | |
| | L1 | L2 | L3 | L1 | L2 | L3 | 1 phase | 3 phase | | |
| 2 | 0.051 | | | 0.107 | | | 8% | 8% | | |
| 3 | 0.279 | | | 0.580 | | | 21.6% | Not stated | | |
| 4 | 0.016 | | | 0.033 | | | 4% | 4% | | |
| 5 | 0.281 | | | 0.585 | | | 10.7% | 10.7% | | |
| 6 | 0.015 | | | 0.031 | | | 2.67% | 2.67% | | |
| 7 | 0.255 | | | 0.532 | | | 7.2% | 7.2% | | |
| 8 | 0.015 | | | 0.030 | | | 2% | 2% | | |
| 9 | 0.194 | | | 0.403 | | | 3.8% | Not stated | | |
| 10 | 0.014 | | | 0.030 | | | 1.6% | 1.6% | | |
| 11 | 0.142 | | | 0.295 | | | 3.1% | 3.1% | | |
| 12 | 0.015 | | | 0.030 | | | 1.33% | 1.33% | | |
| 13 | 0.112 | | | 0.234 | | | 2% | 2% | | |

| THD ²⁷ | 0.560 | | | 1.167 | | | 23% | 13% | | |
|--------------------|-------------------|--------------------------------|----------|----------|---------------|----|-------------------|------------------|--|--|
| PWHD ²⁸ | 0.010 | | | 0.022 | | | 23% | 22% | | |
| Harmonic | At 100% | At 100% of Registered Capacity | | | | | | | | |
| | Measur in Amps | ed value s | e (MV) | Measured | value (MV) in | % | Limit ii 61000 | n BS EN -3-12 | | |
| | L1 | L2 | L3 | L1 | L2 | L3 | 1 phase | 3 phase | | |
| 2 | 0.077 | | | 0.159 | | | 4% | 8% | | |
| 3 | 0.421 | | | 0.878 | | | 21.6% | Not stated | | |
| 4 | 0.018 | | | 0.038 | | | 4% | 4% | | |
| 5 | 0.265 | | | 0.552 | | | 10.7% | 10.7% | | |
| 6 | 0.026 | | | 0.055 | | | 2.67% | 2.67% | | |
| 7 | 0.285 | | | 0.595 | | | 7.2% | 7.2% | | |
| 8 | 0.023 | | | 0.049 | | | 2% | 2% | | |
| 9 | 0.283 | | | 0.590 | | | 3.8% | Not stated | | |
| 10 | 0.033 | | | 0.070 | | | 1.6% | 1.6% | | |
| 11 | 0.223 | | | 0.465 | | | 3.1% | 3.1% | | |
| 12 | 0.028 | | | 0.058 | | | 1.33% | 1.33% | | |
| 13 | 0.184 | | | 0.384 | | | 2% | 2% | | |
| THD ²⁹ | 0.761 | | | 1.586 | | | 23% | 13% | | |
| PWHD ³⁰ | 0.022 | | | 0.045 | | | 23% | 22% | | |
| 27 THD = T | otal Harn | nonic Dis | stortion | | 1 | 1 | | | | |

²⁸ PWHD = Partial Weighted Harmonic Distortion

²⁹ THD = Total Harmonic Distortion

³⁰ PWHD = Partial Weighted Harmonic Distortion

3. Power Quality – Voltage fluctuations and Flicker:

For **Power Generating Modules** of **Registered Capacity** of less than 75 A per phase (ie 50 kW) these tests should be undertaken in accordance with Annex A.7.1.4.3. Results should be normalised to a standard source impedance, or if this results in figures above the limits set in BS EN 61000-3-11 to a suitable Maximum Impedance.

For **Power Generating Modules** of **Registered Capacity** of greater than 75 A per phase (ie 50 kW) the installation shall be designed in accordance with EREC P28.

The standard test impedance is 0.4 Ω for a single phase **Power Generating Module** (and for a two phase unit in a three phase system) and 0.24 Ω for a three phase **Power Generating Module** (and for a two phase unit in a split phase system). Please ensure that both test and standard impedance are completed on this form. If the test impedance (or the measured impedance) is different to the standard impedance, it must be normalised to the standard impedance as follows (where the **Power Factor** of the generation output is 0.98 or above):

d max normalised value = (Standard impedance / Measured impedance) x Measured value.

Where the **Power Factor** of the output is under 0.98 then the X to R ratio of the test impedance should be close to that of the standard impedance.

The stopping test should be a trip from full load operation.

The duration of these tests needs to comply with the particular requirements set out in the testing notes for the technology under test.

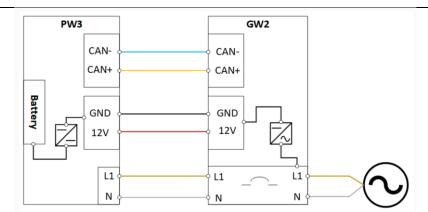
| Test start date | | 07/12 | 2/2023 | Test end o | 14/03/2024 | | | | | |
|---|----------|----------------|---------------------------------|-----------------------|------------|----------|---------|----|--------------|--|
| Test location | | 47400 Kato | 7400 Kato Rd, Fremont, CA 94538 | | | | | | | |
| | Starting | j ¹ | | Stopping ¹ | | | Running | | | |
| | d max | dc | d(t) | d max | dc | d c d(t) | | | lt 2 ours | |
| Measured Values at test impedance | 1.48 | 1.36 | 0.00 | 1.48 | 1.36 | 0.00 | 0.13 | 0. | 12 | |
| Normalised to standard impedance | 3.29 | 3.02 | 0.00 | 3.29 | 3.02 | 0.00 | 0.29 | 0. | 27 | |
| Normalised to required maximum impedance | <4% | <3.3% | <3.3% | <4% | <3.3% | <3.3% | <1.0 | <0 | 0.65 | |
| Limits set under BS EN 61000- 3-11 | 4% | 3.3% | 3.3% | 4% | 3.3% | 3.3% | 1.0 0.6 | | 0.65 | |
| | | | | | | | | | | |
| Test Impedance | R | 0 | .15 | Ω | XI | 0.15 | | | Ω | |

The test date and location must be declared.

| Standard Impedance | R | | 0.24 * 0.4 ^ | Ω | XI | |).15 *).25 ^ | Ω |
|--|--|------------|-----------------|---------------|--------------|-------------|------------------|------|
| Maximum Impedance | R | | | Ω | XI | | | Ω |
| * Applies to three phase and split single phase Power Generating Module s. Delete as appropriate. ^ Applies to single phase Power Generating Module and Power Generating Module s using two phases on a three phase system. Delete as appropriate. (1) Ramp up/down of 20 seconds. | | | | | | | | |
| 4. Power quali are to be carrie current output of | 4. Power quality – DC injection: The tests should be carried out on a single Generating Unit. Tests are to be carried out at three defined power levels $\pm 5\%$. At 230 V a 50 kW three phase Inverter has a current output of 217 A so DC limit is 543 mA. These tests should be undertaken in accordance with Annex A.7.1.4.4. | | | | | | | |
| The % DC injec | ction ("as | % of rate | d AC current" | below) is ca | alculated as | follows: | | |
| % DC injection | % DC injection = Recorded DC value in Amps / Base current | | | | | | | |
| where the base greater than 0.2 | | is the Reg | jistered Capac | city (W) / Vp | hase. The s | % DC inject | tion should no | t be |
| Test power leve | el | | 10% | % | 55 | 5% | 100% | |
| Recorded DC v | alue in A | mps | 0.03 | 1 | 0.04 | 43 | 0.028 | |
| as % of rated A | C curren | t | 0.06 | 6 | 0.0 | 9 | 0.06 | |
| Limit | | | 0.25 | 6% | 0.2 | 5% | 0.25% | |

Fail Safe

CLS internal failure: please submit here the description of the internal **Fail Safe** design and operation. Please also document how it has been demonstrated, including the non-volatile recording of times and numbers of state 2 operations, and confirm the overall response of the **CLS** to this internal failure.



The elements considered are represented in the diagram. As can be seen, the supply of the Backup Gateway 2 (GW2) is provided by 2 paths, from Grid, and also from Battery of Powerwall 3 (PW3), that's why failure of supply has not been considered.

It has also been considered the failure of the Current Transducer CT included in the Gateway. Considered as a loss of communication failure.

Communication and power supply failures between **Components** and **Devices**. Please document here compliance with EREC G100 section

| Component/Device number/description | Communication failure test | Power supply failure test |
|--|---|---------------------------|
| CAN Open circuit | Refer to section 4.3 of report 230419RECO01C | N/A |
| CAN Short circuit (Recovery) | Refer to section 4.3 of report 230419RECO01C | N/A |
| CT Wire open 1 | Refer to section 4.3 of report 230419RECO01C | N/A |
| CT Wire open 2 | Refer to section 4.3 of report 230419RECO01C | N/A |
| CT Wire open 3 | Refer to section 4.3 of report 230419RECO01C | N/A |

| Opera | ational Tests | | | | | | |
|--------|--|-------------------------|--|---|-----|------------|----------------------------------|
| | | | ake the tests A to rs as required, an | | | | |
| Test A | ł | | | | | | |
| | nal Export Limit (ntermediate settir | | s will be at maxir | num, minimum a | nd | (45.7 A) | 10514 W 00 W (2.2 A) |
| | nal Import Limit (ntermediate settir | | s will be at maxir | num, minimum a | nd | | |
| No | Starting level | Step value | CLS registers | CLS and/or | | iration of | Correct |
| | P (%P _{MEL}) | ΔΡ (%P _{MEL}) | change in level? | Component and/or Device initiates correct response of ≥ 5%? | ste | p in test | state 1/ state 2 operation |
| 1 | 94.9% | 10% | Yes | Maximum MEL | 58 | S | YES |
| | | | | Test A.1 Yes, It reduces to State 1 | | | |
| 2 | 89.8% | 15% | Yes | Maximum MEL | 58 | S | YES |
| | | | | Test A.2 Yes, It reduces to State 1 | | | |
| 3 | 74.9% | 25% | Yes | Maximum MEL | 58 | S | YES |
| | | | | Test A.3 Yes, It reduces to State 1 | | | |
| 4 | 95.1% | 10% | Yes | Mid-point MEL | 58 | S | YES |
| | | | | Test A.1 Yes, It reduces to State 1 | | | |
| 5 | 90.1% | 15% | Yes | Mid-point MEL | 58 | S | YES |
| | | | | Test A.2 Yes, It reduces to State 1 | | | |
| 6 | 74.9% | 25% | Yes | Mid-point MEL | 58 | S | YES |
| | | | | Test A.3 Yes, It reduces to State 1 | | | |

| 7 | 96.4% | 10% | Yes | Minimum | 58 | s | YES |
|--------|--|---------------------------------------|--------------------------------------|---|------|-----------------------------------|---------------------------------|
| , · | 00.470 | 1070 | 100 | MEL | 00 | 5 | 120 |
| | | | | Test A.1 Yes, It reduces to | | | |
| | | | | State 1 | | | |
| 8 | 91.0% | 15% | Yes | Minimum MEL | 58 s | | YES |
| | | | | Test A.2 Yes, It reduces to State 1 | | | |
| 9 | 79.0% | 25% | Yes | Minimum MEL | 58 | S | YES |
| | | | | Test A.3 Yes, It reduces to State 1 | | | |
| Test B | | | | | | | |
| | al Export Limit: | | | | | max MEL (45.7 A) min MEL 50 | 10514 W 00 W (2.2 A) |
| | al Import Limit | | | | _ | | |
| No | Starting level P (%P _{MEL}) | Step value ∆P (%P _{MEL}) | CLS registers change in level? | CLS and/or Component and/or Device initiates correct response of ≥ 5%? | ste | ration of p in test | Correct state 3 operation |
| 10 | 94.9% | 10% | Yes | Maximum MEL Yes, it | 62 | S | YES |
| | | | | reduces to State 1 | | | |
| 11 | 95.1% | 10% | Yes | Mid-point MEL Yes, it reduces to State 1 | 62 s | | YES |
| 12 | 95.1% | 10% | Yes | Minimum MEL | 62 | S | YES |
| | | | | Yes, it reduces to State 1 | | | |

State 3 Reset

Please document how the reset from state 3 to state 1 has been demonstrated. Please include how the reset is achieved.

Please confirm that for **CLSs** to be installed in **Domestic installations** three (3) resets causes lockout or that for non-domestic installations lockout can only be reset after four hours. Please explain how lockout is reset.

Disconnection (state 3) is reached only if there are communication failures. Communication failures are not required to lead to a lock of the device. If state-3 is locked out, it can be reset my Tesla or Installer's via remote control.